

(19) World Intellectual Property
Organization
International Bureau



29 APR 2005 5 33 128



(43) International Publication Date
13 May 2004 (13.05.2004)

PCT

(10) International Publication Number
WO 2004/040228 A1

(51) International Patent Classification⁷: **F41H 5/04**,
1/02 // 1/00

(21) International Application Number:
PCT/NO2003/000359

(22) International Filing Date: 28 October 2003 (28.10.2003)

(25) Filing Language: Norwegian

(26) Publication Language: English

(30) Priority Data:
20025233 31 October 2002 (31.10.2002) NO

(71) Applicant (for all designated States except US):
FORSVARETS FORSKNINGSINSTITUTT [NO/NO];
P.O. Box 25, N-2027 Kjeller (NO).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **WULVIK, Erik**

[NO/NO]; Skogfaret 9E, N-2020 Skedsmokorset (NO).
HALSNES, Odd [NO/NO]; Måstad, N-1866 Båstad
(NO). **FYKSE, Haakon** [NO/NO]; Fetv. 2767, N-1910
Enebakkneset (NO).

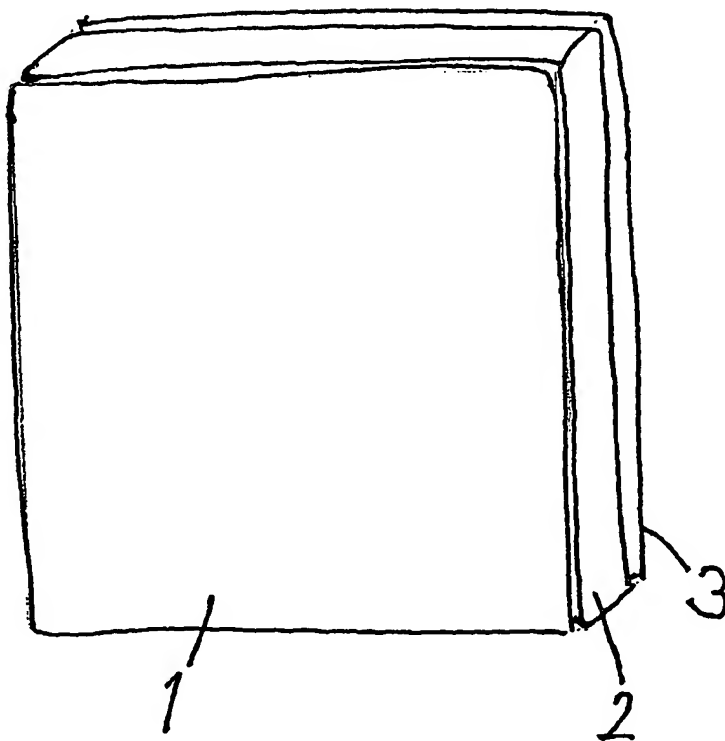
(74) Agent: **ONSAGERS AS**; P.O. Box 6963 St. Olavs plass,
N-0130 Oslo (NO).

(81) Designated States (*national*): AE, AG, AL, AM, AT (util-
ity model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA,
CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (util-
ity model), DE, DK (utility model), DK, DM, DZ, EC, EE
(utility model), EE, EG, ES, FI (utility model), FI, GB, GD,
GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR,
KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN,
MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU,
SC, SD, SE, SG, SK (utility model), SK, SL, SY, TJ, TM,
TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM,
ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),

[Continued on next page]

(54) Title: **BALLISTIC PROTECTION**



(57) **Abstract:** The present invention relates to ballistic protection for use in personal equipment or in vehicles of various types such as cars, helicopters and boats or for use in permanent or temporary protection of various types in buildings or other fixed or mobile installations. The ballistic protection according to the present invention can be employed as protection against various kinds of low or high-velocity projectiles in addition to which it will be capable of offering protection against splinters and various kinds of fragments which could otherwise injure people or damage vehicles or installations if they had the opportunity of penetrating right through an external protective layer. The ballistic protection according to the present invention can further be combined with a drinking water reservoir since an essential part of the protection is a liquid container. The drinking water supply, for example, for a soldier is thereby improved both with regard to available volume and position/carrying capacity.

WO 2004/040228 A1



Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,
SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO
patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG,
ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU,
TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE,
DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT,
RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

Declaration under Rule 4.17:

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN,

Published:

- with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Ballistic protection

The present invention relates to ballistic protection for use in personal equipment or in vehicles of various types such as cars, helicopters and boats or for use in permanent or temporary protection of various types in buildings or other fixed or mobile installations. The ballistic protection according to the present invention can be employed as protection against various kinds of low or high-velocity projectiles in addition to which it will be capable of offering protection against splinters and various kinds of fragments which could otherwise injure people and damage vehicles or installations if they had the opportunity of penetrating right through the protection. The ballistic protection according to the present invention is particularly well suited as a protection against high-velocity projectiles and can therefore be employed particularly in threat situations involving the risk of being fired upon by high-velocity projectiles.

The ballistic protection according to the present invention can further be combined with a drinking water reservoir since an essential part of the protection is a liquid container. The drinking water supply, for example, for a soldier is thereby improved both with regard to the volume available and the location/carrying capacity.

The different situations in which such ballistic protection may be particularly applicable involve the police, soldiers and security forces as well as civil guards etc. who are located in a position or situation where there is a reduced requirement for mobility and where they may be fired upon, for example, by high-velocity projectiles. These are also people who may at times have a need for a portable source of drinking water.

The ballistic protection for fixed installations may also be employed, for example, by aid organisations or others in order to protect parts of hospitals, food stores etc. in exposed situations.

The ballistic protection according to the present invention is dynamic since it can be available or not according to the need for protection. Furthermore, the ballistic protection can easily be achieved as an addition to other kinds of ballistic protection. The transition between the various degrees of protection (light and heavier protection) can be implemented quickly and efficiently and an upgrading of existing protection can be performed quickly without particularly sophisticated equipment.

Amongst previously known solutions, we shall refer, e.g., to US patents 4485491, US 4507802 and US 5060314, all of which relate to different combinations of "plates" (ballistic protective panels) which are placed in pockets or suitable openings in a personal garment in order to protect the user against ballistic projectiles. The "plates" have different properties and construction according to the

threat involved and are placed in suitable locations over the body in order to protect vital organs better than peripheral protective measures for protecting limbs, etc.

5 The disadvantage of such known forms of ballistic protection for personal use is particularly associated with reduced mobility and increased weight. It is therefore desirable to use as "light" a protection as possible while maintaining the capability of upgrading the protection level as required. When upgrading the protection, one is also dependent on having available panels (plates) which suit the clothing or the protective equipment used. Moreover, it may be desirable to have access on a later occasion to those plates that were removed from the protection, which means that
10 they have to be carried and the weight load is therefore still present during transport.

In vehicles such as cars, helicopters, boats, etc. the same relationship exists between increased protection and increased weight. In some situations more protection is required while at the same time accepting higher weight and thereby less load
15 capacity. However, there are not many known systems for upgrading the protection on a vehicle when required, for example upgrading the protection on the bottom (the belly) of a helicopter when it has to fly over areas with increased risk from ground fire.

In many cases, moreover, it may be useful to have a flexible system for upgrading
20 protection which permits an increase in protection in some areas, for example in vital machine parts or in personnel areas.

A projectile with a relatively high velocity, for example a projectile from an automatic weapon such as an AG3 or AK47, which are automatic weapons commonly employed in conflict situations, has a relatively conical shape with a
25 pointed front end and a larger, blunt, rear (following) end. The projectile moves in a circular motion about its longitudinal axis while moving forwards. With regard to personnel injury as a result of impact with a high-velocity projectile, a projectile of this kind has a particularly destructive effect on impact with soft material, especially soft tissue (body tissue). The projectile becomes unstable in its
30 longitudinal direction and turns after impact with the result that the end which was following before impact now becomes the leading end. This creates two effects. Firstly, the projectile causes destruction in an area corresponding to a large proportion of its length since the projectile turns so that the following end comes first. This creates a large area of bleeding within the wound. The projectile then
35 moves on with the largest end first and at the exit from soft tissue it causes a large exit wound, substantially larger than the entry wound.

Traditional ballistic protection attempts to divert the energy in an impact from a projectile by dissipating it over a large area, with the result that pressure per surface unit in the point of impact is greatly reduced. This can be achieved with various

kinds of standard ballistic protective plates with ballistic fibres. As extra protection against high-velocity ammunition, additional use is made of so-called hard plates which offer further protection and reduce the velocity and penetrating power of the projectile. These hard plates are generally an upgrading of existing lighter
5 protective equipment. In vehicles and installations it is usually impossible to upgrade with additional hard plates, which moreover are extremely heavy.

There is therefore a need for a form of protection that can be employed when the nature of the threat or the situation indicates that it is necessary, which protection can be removed when no longer required. Furthermore, it should not be dependent
10 on the shape and size of the element to be protected regardless of whether this is a helicopter or a person. It should also be possible to upgrade the protection at short notice without any special logistics of necessary plates and equipment.

For this purpose, according to the present invention ballistic protection is provided against projectiles, splinters, sharp objects and the like particularly for personal
15 clothing and equipment as well as for vehicles, vessels, aircraft or fixed installations, which protection consists of at least two substantially plate-shaped protective elements or at least two groups of plate-shaped protective elements. The protection is characterised in that between the two plate-shaped elements or groups of plate-shaped elements are one or more layers of liquid or a liquid-like medium
20 stored in one or more containers.

The idea behind the invention is that a projectile that hits liquid or the like, such as for example water, will behave in the same way as when it hits soft tissue. When the projectile first hits a plate, the velocity is checked, the projectile is further deformed and rapidly becomes unstable. When it subsequently hits a liquid, the projectile
25 turns as mentioned above. The projectile is now considerably easier to capture and stop and when it then hits the second plate-shaped element or group of elements, this element checks and stops the projectile in approximately the same manner as a low-velocity projectile by dissipating the energy in the point of impact over a substantially larger area.

30 Thus it will be possible to employ the invention in a wide range of different areas of application where a light protection with two "plates" has an intermediate container. If required, the container or desired parts of the container can be filled with a liquid in order to provide increased protection.

35 The liquid may, for example, be water which is easy to handle while also being easily available.

The liquid container can also be used for storing drinking water and in this connection the container may be provided with a tapping system which permits a person to drink directly from the container.

When the container is empty, moreover, it can be filled with air which will provide increased insulation as well as contributing to buoyancy if required.

If it is very cold the liquid used in the container may have additives, for example alcohol to prevent the formation of ice.

- 5 In different embodiments the liquid or the liquid-like medium is stored in one or more rigid containers. Alternatively, the liquid may be stored in one or more flexible containers and in a further embodiment one or more the containers may overlap one another. If it is desirable to fill the space between the plate-shaped elements constantly, a rigid container may be employed. In a rigid container of this
10 kind, however, the liquid will at all times be located at the bottom which does not necessarily provide good enough protection at the desired points. In an alternative embodiment, therefore, the plate-shaped elements may be connected with one or more elastic elements/bodies which draw the elements towards each other, thereby reducing the volume in a flexible container and thus keeping the liquid at a uniform
15 pressure level. Alternatively, the plate-shaped elements can be kept at a fixed distance apart with distance pieces of suitable dimensions. As a combination the distance between the plate-shaped elements can have a lower limit by providing distance pieces with a length between the elements corresponding to the least distance desired between the elements.
- 20 In order to ensure that all the liquid is not lost if the container is punctured or damaged, in an embodiment one or more of the containers may also be interconnected in groups where each group of interconnected containers is provided with a device for filling and tapping. Several groups of containers must therefore be filled and tapped. This also offers the possibility for priority protection if a person
25 wishes to use the liquid as a drink.

In a preferred embodiment the container(s) for liquid are therefore releasably mounted between the plate-shaped elements, thus enabling the container(s) to be replaced. This facilitates the possibility of cleaning, etc.

- 30 It is preferred, moreover, that the container(s) are provided with a filling means or connection for a filling means, thus enabling them to be transported empty and filled when required. With regard to this it is also preferred that the container(s) are provided with a tapping means or connection for a tapping means. If the container is to be used as a drinking water reservoir, it is preferred that the container(s) is provided with a means or connection for a means that permits the liquid to be drunk
35 by a person.

Examples of different embodiments of protection according to the present invention are further indicated in the following figures.

Fig. 1 is a perspective view of protection according to the present invention.

Fig. 2 is a schematic view of protection combined with a drinking water reservoir according to the present invention.

5 Fig. 3 is a schematic sectional view of protection of a structural part according to the present invention.

Figs. 4a-c are sectional views of different embodiments of protection and possibly a drinking water reservoir according to the present invention.

10 Figure 1 is a schematic perspective view of the construction of protection according to the present invention. A plate-shaped element of ballistically protective material 1 meets a projectile and on the inside thereof is a container 2 with a liquid. The projectile becomes unstable in its movement through the first element and turns through the liquid container. The projectile is then ideally captured side-on by the internal ballistic protection which is a plate-shaped element 3.

15 In figure 2 there is further illustrated a combination of the protection in figure 2 with a drinking water reservoir also according to the present invention. The liquid that is to be drunk is stored in the container 2 and can be filled/drawn off through the connections 4,5. The connection for emptying (4 or 5 respectively) may preferably project down towards the bottom of the container 2.

20 In figure 3 there is further illustrated an example of how the protection according to the present invention can be employed in a structural part such as a fence element with ballistic protection, panels in vehicles or the like. Between the plate-shaped elements 1 and 3 there are mounted a number of containers 2a-c containing liquid. In a vehicle, for example, liquids other than water may be employed and it will also be possible to fill the container with fuel. As illustrated in figure 3, the protection
25 may follow the contours of the element being protected.

In figures 4a and 4b, moreover, a further embodiment is illustrated where two plate-shaped ballistically protective elements 1 and 3 are drawn together by elastic clips or the like 6. Alternatively, the elements 1 and 3 may be drawn together by placing a pressurised or inflatable elastic bag (balloon) in the adjacent space. This clamps
30 the container (preferably flexible or with flexible portions) together, with the result that it always contains a liquid layer in the area of the protection until the container is almost empty. Furthermore, the contraction can be restricted by distance pieces 7 to prevent contact from being made between the plate-shaped elements 1 and 3. In figure 4a protection according to the present invention is illustrated with a container
35 2 which is relatively full. In figure 4b, moreover, the same protection is illustrated when the container is partly empty and the distance pieces 7 prevent further movement of the plate-shaped elements 1 and 3.

In figures 4c and 4d protection is illustrated in a similar manner with distance blocks 8 where the container 2 is full in figure 4c and where the container 2 is almost empty in figure 4d.

PATENT CLAIMS

1. Ballistic protection against projectiles, splinters, sharp objects and the like particularly for personal clothing and equipment as well as for vehicles, vessels, aircraft or fixed installations, which protection consists of at least two substantially
5 plate-shaped protective elements or at least two groups of plate-shaped protective elements,
characterised in that between the two plate-shaped elements or the groups of plate-shaped elements are one or more layers of liquid or a liquid-like medium stored in one or more containers.
- 10 2. Protection according to claim 1,
characterised in that the liquid or the liquid-like medium is stored in one or more rigid containers.
3. Protection according to claims 1-2,
characterised in that the liquid or the liquid-like medium is stored in one or more
15 flexible containers.
4. Protection according to claim 3,
characterised in that a number of the containers completely or partly overlap one another.
5. Protection according to claims 1-4,
20 characterised in that one or more of the containers are interconnected in groups and that each group of interconnected containers is provided with a device for filling and tapping.
6. Protection according to claims 1-5,
characterised in that the container(s) for liquid are releasably mounted between the
25 plate-shaped elements, thus enabling it (them) to be replaced.
7. Protection according to claims 1-6,
characterised in that the container(s) are provided with filling means or a connection for filling means.
8. Protection according to claims 1-7,
30 characterised in that the container(s) are provided with tapping means or a connection for tapping means.
9. Protection according to claims 1-8,
characterised in that container(s) are provided with a means or connection for means that permit the liquid to be drunk by a person.

10. Protection according to claims 1-9,
characterised in that the plate-shaped elements are kept at a fixed distance apart by
distance pieces of suitable dimensions.
- 5 11. Protection according to claims 1-10,
characterised in that the plate-shaped elements are drawn towards each other by
being connected to one or more elastic bodies.
- 10 12. Protection according to claim 11,
characterised in that the distance between the plate-shaped elements has a lower
limit, distance pieces being mounted with a length between the elements
corresponding to the least desirable distance between the elements.
- 15 13. Ballistic protection against projectiles, splinters, sharp objects and the like
particularly for personal clothing and equipment as well as for vehicles, vessels,
aircraft or fixed installations, combined with a liquid reservoir for liquid, consisting
of at least two substantially plate-shaped protective elements or at least two groups
of plate-shaped protective elements,
characterised in that between the two plate-shaped elements or the groups of plate-
shaped elements are one or more layers of liquid or a liquid-like medium stored in
one or more containers, which container(s) are provided with filling means or a
connection for filling means and are provided with tapping means or a connection
20 for tapping means which permits the liquid to be drunk by a person.

Fig 1.

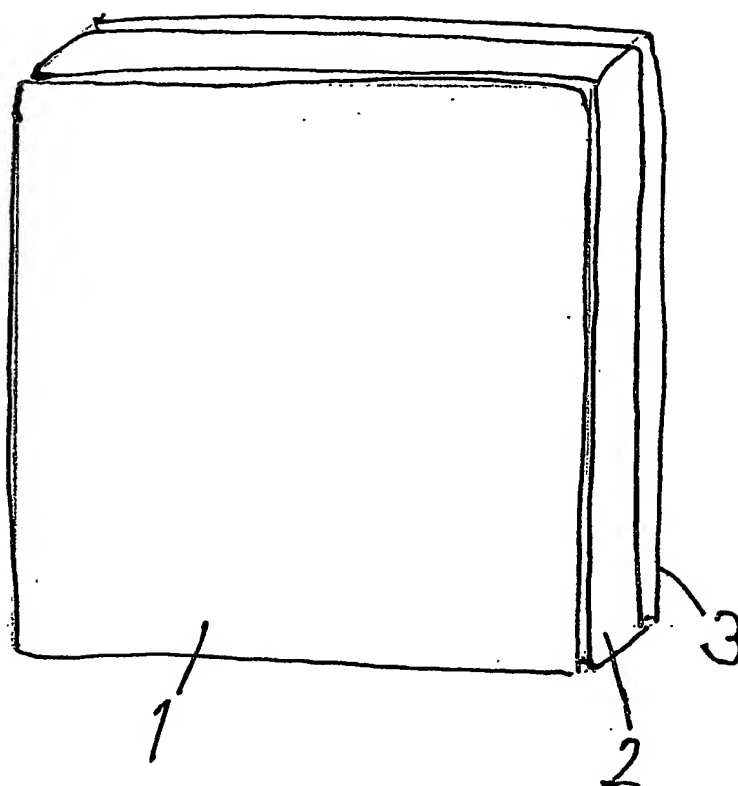


Fig 2

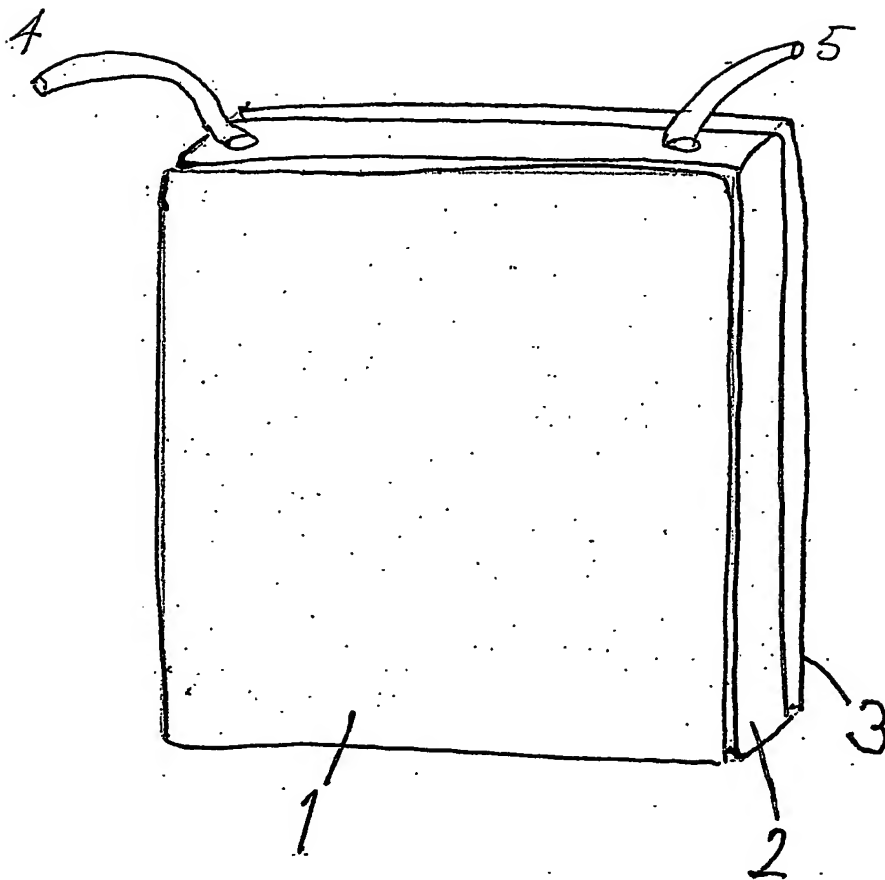


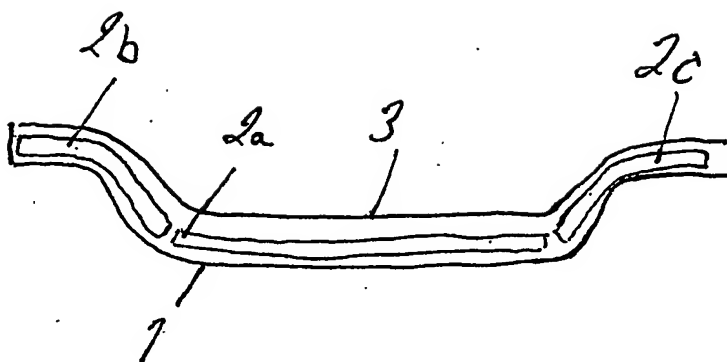
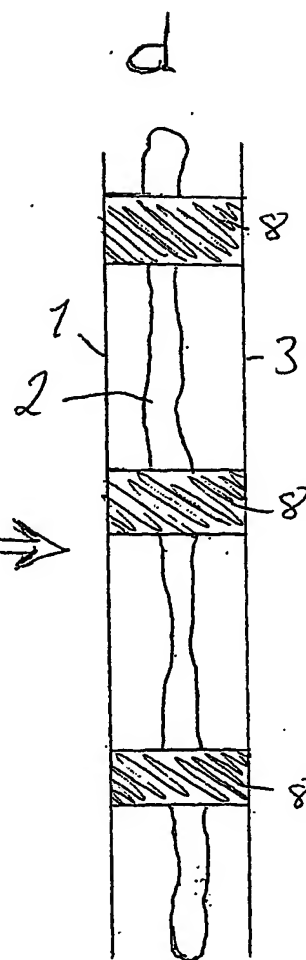
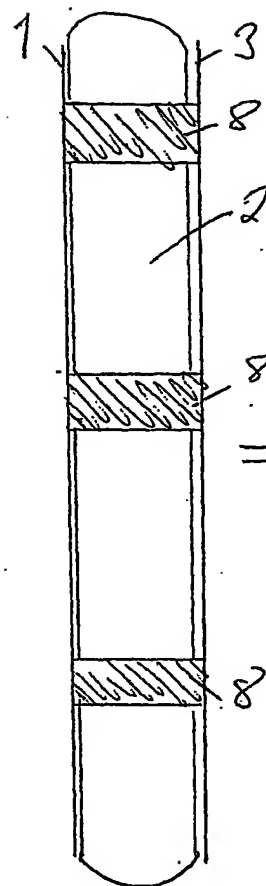
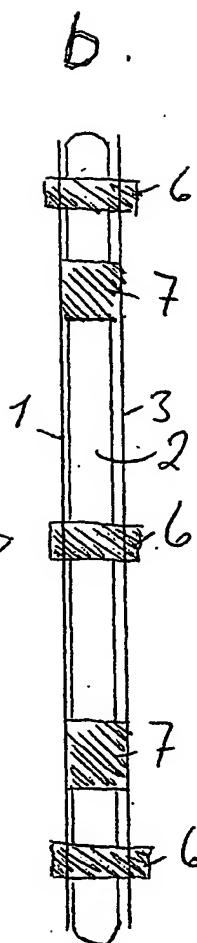
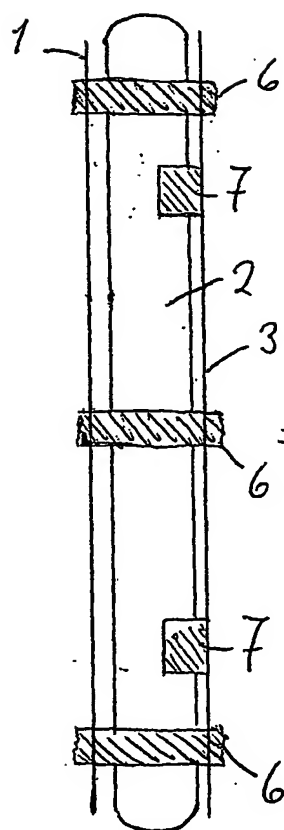
Fig. 3.

Fig 4 a



INTERNATIONAL SEARCH REPORT

International application No.

PCT/NO 2003/000359

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: F41H 5/04, F41H 1/02 // F41H 1/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: F41H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-INTERNAL, WPI DATA, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0897097 A2 (KELLNER, G.), 6 Sept 2000 (06.09.2000), claim 22, abstract	1,2,5,7,8,10
A	---	3,4,6,9, 11-13
X	US 6200664 B1 (W. FIGGE ET AL), 13 March 2001 (13.03.2001), column 1, line 48 - line 50, figure 2, detail 30,32; abstract	1,2,8,10
A	---	3-7,9,11-13
A	US 5767435 A (J.-J. REYMANN), 16 June 1998 (16.06.1998), figure 2, detail 5	1-13

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

19 January 2004

Date of mailing of the international search report

27 -01- 2004

Name and mailing address of the ISA/
Swedish Patent Office
Box 5055, S-102 42 STOCKHOLM
Facsimile No. +46 8 666 02 86

Authorized officer

Linda Ödman / MRO
Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT

International application No.

PCT/NO 2003/000359

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 3604374 A (H.E. MATSON ET AL), 14 Sept 1971 (14.09.1971), abstract --	1-13
A	US 6067889 A (J.C. BROWN), 30 May 2000 (30.05.2000) --	1-13
A	DE 20220429 U1 (TDW GESELLSCHAFT FÜR VERTEIDIGUNGSTECHNISCHE WIRKSYSTEME MBH), 21 August 2003 (21.08.2003) -- -----	1-13

INTERNATIONAL SEARCH REPORT

Information on patent family members

24/12/2003

International application No.

PCT/NO 2003/000359

EP	0897097	A2	06/09/2000	DE	19734950 A,C	25/02/1999
US	6200664	B1	13/03/2001	AT	255495 T	15/12/2003
				CA	2387085 A	10/05/2001
				EP	1268184 A,B	02/01/2003
				SE	1268184 T3	
				JP	2003513186 T	08/04/2003
				WO	0132412 A	10/05/2001
US	5767435	A	16/06/1998	DE	69528697 D,T	03/07/2003
				EP	0741856 A,B	13/11/1996
				SE	0741856 T3	
				FR	2727508 A,B	31/05/1996
				IL	116214 D	00/00/0000
				WO	9617219 A	06/06/1996
				DE	69600922 D,T	29/07/1999
				EP	0808412 A,B	26/11/1997
				FR	2730528 A,B	14/08/1996
				US	5888058 A	30/03/1999
				WO	9624754 A	15/08/1996
US	3604374	A	14/09/1971	NONE		
US	6067889	A	30/05/2000	NONE		
DE	20220429	U1	21/08/2003	NONE		